

## NORTH YORKSHIRE COUNTY COUNCIL

## AUDIT COMMITTEE

24 September 2015

## PROGRESS ON ISSUES RAISED BY THE COMMITTEE

**Joint Report of the Corporate Director – Strategic Resources  
and the Assistant Chief Executive (Legal and Democratic Services)**

**1.0 PURPOSE OF THE REPORT**

1.1 To advise Members of

- (i) progress on issues which the Committee has raised at previous meetings
- (ii) other matters that have arisen since the last meeting and that relate to the work of the Committee

**2.0 BACKGROUND**

2.1 This report is submitted to each meeting listing the Committee's previous Resolutions and / or when it requested further information be submitted to future meetings. The table below represents the list of issues which were identified at previous Audit Committee meetings and which have not yet been resolved. The table also indicates where the issues are regarded as completed and will therefore not be carried forward to this agenda item at the next Audit Committee meeting.

<b>Date</b>	<b>Minute number and subject</b>	<b>Audit Committee Resolution</b>	<b>Comment</b>	<b>Complete?</b>
05/12/13	45 – Information Governance	That an update version of the Information Governance Policy Map be circulated to Committee Members.	The suite of information governance policies which have been streamlined were reviewed and agreed by the Corporate Information Governance Group on 16 September 2015. As there were no fundamental changes the Group will be recommending the policies for approval by Management Board.	x
25/06/15	128 – Programme of Work	That the Programme of Work be approved, subject to the Review of Finance, Contract and Property Procedure Rules being re-scheduled for the September 2015 meeting	The Review of Finance, Contract and Property Procedure Rules has been re-scheduled for the September 2015 meeting. This is, however, an "in principle" document at this	x

Date	Minute number and subject	Audit Committee Resolution	Comment	Complete?
			stage so will require a further detailed report in due course.	
16/07/15	135 – Statement of Final Accounts 2014/15 – NYCC	That information, including turnover, relating to North Yorkshire Business and Education Partnership be provided to Members to demonstrate why it does not meet the criteria for being categorised, within the County Council's accounts, as being in a group relationship with the County Council	NYBP has an annual turnover of circa £800k. it is a separate company limited by guarantee with no share capital. NYCC does not provide a Board Member.	✓
16/07/15	135 – Statement of Final Accounts 2014/15 – NYCC	That the Corporate Director, S R reviews the value of assets of trust funds in the table on page 97 and aligns it with the text at the bottom of the page.	Roundings issue now addressed and text agrees with the table values	✓
16/07/15	136 – Programme of Work	That the programme of work be approved, subject to representatives of KPMG (future External Auditors) being invited to attend an informal Seminar for Audit Committee Members on 3 December 2015 at 1pm	Representatives from KPMG have been invited to attend an informal seminar on 3 December 2015.	✓

### 3.0 TREASURY MANAGEMENT

#### 3.1 Current Treasury Management developments include

- (i) The procurement exercise to appoint a joint provider of Treasury Management Consultancy Services for both the County Council and Selby District Council was concluded in August. The current provider for both the County Council and Selby District Council, Capita Asset Services – Treasury Management, has been awarded the contract to provide a joint service for both authorities under the new 'Better Together' working arrangements. The contract will commence on 1 October 2015.
- (ii) Capita Asset Services – Treasury Management provided an updated interest rate forecast on 11 August 2015. Capita have maintained their first forecast increase in bank rate from 0.5% to 0.75% in mid-2016 with further increases of 0.25% to reach 1.75% by June 2018.

#### **4.0 RECOMMENDATION**

- 4.1 That the Committee considers whether any further follow-up action is required on any of the matters referred to in this report.

GARY FIELDING  
Corporate Director – Strategic Resources

BARRY KHAN  
Assistant Chief Executive  
(Legal and Democratic Services)

County Hall  
NORTHALLERTON

24 September 2015

**Background Documents:**

Report to, and Minutes of, Audit Committee meeting held on 25 June 2015 and 16 July 2015